

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILE WRAPPER CONTINUING APPLICATION UNDER 37 CFR 1.62
(RULE 62)

PATENT
APPLICATION
Page 1 of 4



The Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

For Design or Utility Applications

BOX FWC

This is a RULE 62 Request for filing a

Prior Application:
Group Art Unit: 1811
Examiner: Davenport, A.
Atty Dkt: 95499 / 00646
new M# / Client Ref.
(Our Deposit Account No. 03-3975)
(Our Order No. 2144 / 95499)
C# / new M#
Date: September 16, 1992

☐ divisional

☒ continuation (Exr. NOTE: any election in parent as to species/restriction requirement:

☐ is carried over with traverse) ☐ is not carried over)

☐ continuation-in-part without new Declaration (Rule 53(d)) ☐ without fee

☐ continuation-in-part (with new Declaration attached hereto) of prior copending

parent Application No. 07 / 682,518
series code ↑ serial no.

filed on April 9, 1991, entitled AN ADHESION MOLECULE

by the following named inventor(s) who is/are ☒ the same as, ☐ less than all of (see Item 17),

☐ more than (for CIP only), those named in that parent application:

1. Inventor J. Brice WEINBERG
First Middle Initial Family Name Country of Citizenship
Residence (City) Durham (State/Foreign Country) North Carolina
Post Office Address VA and Duke University Medical Centers, 151G, Durham, North Carolina 27705
(include Zip Code)

2. Inventor Barton F. HAYNES USA
First Middle Initial Family Name Country of Citizenship
Residence (City) Durham (State/Foreign Country) North Carolina
Post Office Address Duke University Medical Centers, Box 3258, Durham, North Carolina 27710
(include Zip Code)

3. Inventor _____
First Middle Initial Family Name Country of Citizenship
Residence (City) _____ (State/Foreign Country) _____
Post Office Address _____
(include Zip Code)

4. Inventor _____
First Middle Initial Family Name Country of Citizenship
Residence (City) _____ (State/Foreign Country) _____
Post Office Address _____
(include Zip Code)

NOTE: FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information for each inventor starting with inventor No. 5 and number new page 1A.

1. ☒ **INFORMATION DISCLOSURE STATEMENT:** Attached is Form PTO-1449 listing all of the documents cited by Applicant and the PTO in the parent application(s) relied upon under 35 USC 120 and referenced in item 9 below. Per Rule 98(d) copies of those documents are not required now. Please consider those documents and advise that they have been considered in this new application as by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

2. **Requirement of Rule 62:** Rule 62 filings are to be used only when the issue fee has not been paid (except as noted below) in the above-identified prior application nor that application abandoned or its proceedings terminated. This Rule 62 filing will be considered by the PTO as an express abandonment of that prior application except when this Rule 62 filing is pursuant to Rule 313(b)(5), i.e., when the issue has been paid in the prior application and a petition filed to abandon that application to permit an IDS to be considered in this Rule 62 application. (Note: 37 CFR 1.60 (Rule 60) may be used for applications where the prior application is not to be abandoned.)

3. ☐ Priority is claimed under 35 U.S.C. 119/365 based on filing in _____ of:

<u>Application No.</u>	<u>Filing Date</u>	<u>Application No.</u>	<u>(country) Filing Date</u>
(1) _____	_____	(4) _____	_____
(2) _____	_____	(5) _____	_____
(3) _____	_____	(6) _____	_____

a. ☐ _____ (No.) Certified copy/copies attached.

b. ☐ Certified copy/copies previously filed on _____ in prior
U.S. Application No. 0 / _____, filed on _____.
series code ↑ ↑ serial no.

c. ☐ Certified copy/copies filed during International stage of PCT / _____ / _____.

d. ☐ Priority is also claimed from PCT / _____ / _____ filed _____.

4. ☒ The prior application is assigned of record to Duke University

by Assignment recorded June 10, 1991 Reel 5740 Frame 479, 480
Date

5. ☐ Attached is an Assignment to _____

Please return the recorded Assignment to the undersigned.

6. ☒ The power of attorney in the prior application is to Cushman, Darby & Cushman

(Name, Reg. No.)

the address of whom is in item 8.

7. ☒ Recognize as associate attorney Mary J. Wilson, Reg. No. 32,955

(Name and Reg. No.; Address as in item 8 unless otherwise indicated)

8. **Address all future communications to Cushman, Darby & Cushman, Ninth Floor, 1100 New York Avenue, N.W., Washington, D.C. 20005-3918.**

9. Amend the specification by inserting before the first line (in place of any comparable insert previously requested in any prior application) the sentence: --This is a

☐ continuation-in-part (CIP) ☒ continuation ☐ division

of Application No. 07 / 682,518, filed on April 9, 1991, now abandoned, which
series code ↑ ↑ serial no.

is a CIP of Application No. 07/669,730, filed March 15, 1991.

10. ☒ _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 and 27

a. ☒ filed in above prior application (and hence applicable hereto)

b. ☐ attached.

11. Petition to extend the life of the above prior application to at least the date hereof

NOTE: (One box) ☒ is being concurrently filed in that prior application (Use Form CDC-111).

(must be) ☐ was previously filed in that prior application (Check length of prior extension).

(X'd) ☐ is not necessary for copendency (double check before X'ing this box).

12. **Requirement of Rule 62:** It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.
13. ☐ Please enter the amendment previously filed on _____ but unentered in the above prior application.
14. ☐ Attached: _____ sheet(s) per set of drawing of Fig(s) _____:
☐ 1 set informal; ☐ formal of size: ☐ A4 ☐ 13" ☐ 14"
15. ☐ **PRELIMINARY AMENDMENT to be entered before fee calculation** (Do not make amendments here except cancellation of whole claims or multiple dependencies for purpose of reducing the filing fee per MPEP §§ 506 and 607; do not cancel all claims.):
16. ☐ Attached is a Rule 103(a) Petition to Suspend Action
17. Petition is hereby made requesting deletion as inventor(s) of the following who is/are not inventor(s) of the invention being claimed in this Rule 62 application:
1. _____ 2. _____
3. _____ 4. _____
18. ☐ This Rule 62 application is a continuation-in-part which discloses and claims additional matter.
- a. ☐ New Declaration is attached.
- b. ☐ This application is also filed under Rule 53(d) (without a Declaration) and hence filing fee is not enclosed.

FILING FEE

THE FOLLOWING FILING FEE IS BASED ON THE CLAIMS
EXISTING IN THE PRIOR APPLICATION AS AMENDED AT 13 AND 15 ABOVE

Large/Small Entity

19. Basic filing fee ----- Design Appln. -- \$280/\$140 \$ _____
20. Basic filing fee ----- Not Design Appln. -- \$690/\$345 \$ 345.00
21. Total Effective Claims 5 minus 20 = * -0- x \$20/\$10 = + 0
22. Independent Claims 4 minus 3 = * 1 x \$72/\$36 = + 36.00
- * If answer is zero or less, enter "0"
23. If any proper (ignore improper) multiple dependent claim is present, -- add \$220/\$110 + _____
24. TOTAL FILING FEE = \$ 381.00
25. If "assignment" box 5 above is X'd, ----- add recording fee (\$40.00) + _____
26. If "petition" box 16 above is X'd, ----- add petition fee (\$130.00) + _____
27. FEE ATTACHED = \$ 381.00
(carry forward to line 36)

28. ☐ Preliminary Amendment attached (to be entered after assigning Appln. No.).
29. ☐ The following PRELIMINARY AMENDMENT is to be entered after assigning Appln. No.:
30. ☐ ATTACHED:

31.

**ADDITIONAL FEE CALCULATION FOR
PRELIMINARY AMENDMENT
PER BOXES 28/29**

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Additional Fee
				<u>Large/Small Entity</u>
32. Total Effective Claims	* _____	minus ** _____	= _____ x \$20/\$10	= \$ _____
33. Independent Claims	* _____	minus *** _____	= _____ x \$72/\$36	= + _____
34. If amendment enters proper multiple dependent claim(s) into this application for <u>first time</u> , -----			add \$220/110 (per application)	+ _____
35.			ADDITIONAL FEE	\$ <u>381.00</u>
36.			plus FEE from item 27 on page 3	+ _____
37.			<u>TOTAL FEE ATTACHED</u>	\$ <u>381.00</u>

38.*If the entry in this space is less than entry in the next space, the "Present Extra" result is "0".

39.**If the "Highest number previously paid for" (see item 20 above) is less than 20, write "20" in this space.

40.***If the "Highest number previously paid for" (see item 21 above) is less than 3, write "3" in this space.

41. **CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.
This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

CUSHMAN, DARBY & CUSHMAN

1100 New York Avenue, N.W.
Ninth Floor
Washington, D.C. 20005-3918

By Atty: Mary J. Wilson

Reg. No. 32,955

Tel: (202) 861-3000

Fax: (202) 822-0944

Atty/Sec: MJW/bls

Sig: Mary J. Wilson

Tel.: (202) 861-3688

NOTE: File this Request in duplicate with 2 postcard receipts (CDC-103) & attachments.